

SEP 03 2004
IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

2633#
61

Inventor(s): Rodney S. Tucker, et al.

Serial No.: 09/684,371

Examiner: David S. Kim

Filing Date: Oct. 5, 2000

Group Art Unit: 2633

Title: System And Method For Optical Heterodyne Detection Of An Optical Signal Including Optical Pre-Selection That Is Adjusted To Accurately Track A Local Oscillator Signal

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INFORMATION DISCLOSURE STATEMENT

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Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with *either* a:
 - () Statement under 37 CFR 1.97(e), or
 - () a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- (X) under 37 CFR 1.97 (d) together with a:
 - (X) Statement under 37 CFR 1.97(e), *and*
 - (X) a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

(X) FEE AUTHORIZATION

Please charge to Deposit Account 50-1078 the sum of \$180.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

(X) STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

() PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. , filed , now U.S. Patent No. , and, as such copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

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() FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

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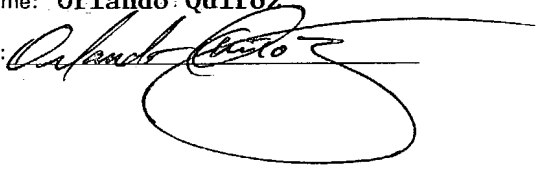
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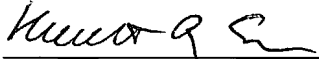
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Signature: 

Respectfully submitted,

Rodney S. Tucker, et al.

By 

Herbert R. Schulze

Attorney/Agent for Applicant(s)
Reg. No. **30,682**

Date: **30 - 08 - 04**

Telephone No.: **(650) 485-4377**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10001757-1

SERIAL NO.

09/684,371

APPLICANT

Rodney S. Tucker, et al.

FILING DATE

Oct. 5, 2000

GROUP

2633

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		6115162	Sep. 5, 2000	Graves, et al.
		5579143	Nov. 26, 1996	Huber

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
		GB2213026A	08/02/1989	The Plessey Company Plc		
		EP0491421A	06/24/1992	Philips NV		

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)